

INVESTMENTS HELD AS AT 30th SEPTEMBER 2014

Counterparty	Start Date	Maturity Date	Rate of Interest %	Amount £m	
FIXED TERM DEPOSITS					
LLOYDS TSB BANK	28/10/13	28/10/14	0.98000	15.0	
NATIONWIDE BUILDING SOCIETY	06/05/14	06/11/14	0.57000	5.0	
BARCLAYS BANK PLC	06/05/14	06/11/14	0.55000	6.0	
LLOYDS TSB BANK	19/11/13	19/11/14	0.98000	5.0	
GOLDMAN SACHS	17/07/14	16/01/15	0.74500	5.0	
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0	
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0	
LLOYDS TSB BANK	31/03/14	31/03/15	0.95000	5.0	
LLOYDS TSB BANK	11/04/14	13/04/15	0.95000	5.0	
STANDARD CHARTERED (King & Shaxson Client A/c)	28/04/14	28/04/15	0.83000	5.0	
RBS (King & Shaxson Client A/c)	28/04/14	28/04/15	0.82000	10.0	
NEWCASTLE CITY COUNCIL	01/07/13	01/07/15	0.70000	5.0	
NEWCASTLE CITY COUNCIL	29/07/13	29/07/15	0.70000	10.0	
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0	
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0	
RBS (collar deposit - floor 1.15%; ceiling 1.37%)	21/05/14	23/05/16	1.15000	15.0	
LLOYDS TSB BANK	07/07/14	07/07/16	1.25000	2.5	
LLOYDS TSB BANK	18/08/14	18/08/16	1.28000	7.5	
RBS (collar deposit - floor 1.52%; ceiling 2.00%)	26/08/14	26/08/16	1.52000	15.0	
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0	
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0	
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5	
PERTH & KINROSS COUNCIL	23/03/14	24/03/17	1.45000	5.0	
NORTHUMBERLAND COUNTY COUNCIL	15/08/14	15/08/17	1.50000	5.0	
DONCASTER MBC	15/08/14	15/08/17	1.88000	5.0	
LB CROYDON	22/08/14	22/08/17	1.50000	10.0	
BLAENAU GWENT CBC	04/12/14	04/12/17	1.90000	3.0	FORWARD DEAL
				198.5	
Less: FORWARD DEALS				-3.0	
				195.5	
OTHER					
Ignis Sterling Liquidity Fund		Instant access account	0.44	9.9	
Prime Rate Sterling Liquidity Fund		Instant access account	0.41	14.5	
Deutsche Bank 95 day notice account		95 day notice account	0.74	5.0	
Svenska Handelsbanken instant access account		Instant access account	0.50	15.0	
Payden Sterling Reserve Fund		Instant access account		15.0	
CCLA Local Authority Property Fund		Property Fund		10.0	
TOTAL INVESTMENTS AS AT 30th SEPTEMBER 2014				264.9	
ICELANDIC BANK DEPOSIT (not included above)					
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065	
Less: Dividend received to 30/09/14 (94%)				-4,782,724	
Principal sum unrecovered as at 30/09/14				304,341	
Provision in 2013/14 accounts for non-recovery (5.9% of total claim)				300,000	

INVESTMENTS HELD AS AT 30th SEPTEMBER 2014

	FROM	TO	RATE	£m	TOTAL £m	LIMIT	REMAINING	
UK BANKS								
BARCLAYS BANK PLC	06/05/14	06/11/14	0.55000	6.0	6.0	10.0	4.0	
LLOYDS TSB BANK	28/10/13	28/10/14	0.98000	15.0				
LLOYDS TSB BANK	19/11/13	19/11/14	0.98000	5.0				
LLOYDS TSB BANK	31/03/14	31/03/15	0.95000	5.0				
LLOYDS TSB BANK	11/04/14	13/04/15	0.95000	5.0				
LLOYDS TSB BANK	07/07/14	07/07/16	1.25000	2.5				
LLOYDS TSB BANK	18/08/14	18/08/16	1.28000	7.5	40.0	40.0	0.0	
ROYAL BANK OF SCOTLAND-CD Investment	28/04/14	28/04/15	0.82000	10.0				
ROYAL BANK OF SCOTLAND-Floor 1.15%; ceiling 1.37%	21/05/14	23/05/16	1.15000	15.0				
ROYAL BANK OF SCOTLAND-Floor 1.52%; ceiling 2.00%	26/08/14	26/08/16	1.52000	15.0	40.0	40.0	0.0	
GOLDMAN SACHS	17/07/14	16/01/15	0.74500	5.0	5.0	10.0	5.0	
STANDARD CHARTERED BANK-CD Investment	28/04/14	28/04/15	0.83000	5.0	5.0	20.0	15.0	
OVERSEAS BANKS								
SVENSKA HANDELSBANKEN	Instant access		0.50000	15.0	15.0	15.0	0.0	
DEUTSCHE BANK	95 day notice		0.74000	5.0	5.0	5.0	0.0	
UK BUILDING SOCIETIES								
NATIONWIDE BUILDING SOCIETY	06/05/14	06/11/14	0.57000	5.0	5.0	10.0	5.0	
OTHER LOCAL AUTHORITIES								
BLAENAU GWENT CBC	04/12/14	04/12/17	1.90	3.0	3.0	15.0	12.0	FORWARD DEAL
DONCASTER MBC	15/08/14	15/08/17	1.88	5.0	5.0	15.0	10.0	
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0	15.0	15.0	0.0	
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0	2.0	15.0	13.0	
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0	15.0	15.0	0.0	
LONDON BOROUGH OF CROYDON	22/08/14	22/08/17	1.50000	10.0	10.0	15.0	5.0	
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0	5.0	15.0	10.0	
NEWCASTLE CITY COUNCIL	01/07/13	01/07/15	0.70000	5.0				
NEWCASTLE CITY COUNCIL	29/07/13	29/07/15	0.70000	10.0	15.0	15.0	0.0	
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0				
NORTHUMBERLAND COUNTY COUNCIL	15/08/14	15/08/17	1.50000	5.0	15.0	15.0	0.0	
PERTH & KINROSS COUNCIL	23/03/14	24/03/17	1.45000	5.0	5.0	15.0	10.0	
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0	5.0	15.0	10.0	
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5	2.5	15.0	12.5	
OTHER ACCOUNTS								
IGNIS STERLING LIQUIDITY FUND	Instant access		0.44	9.9	9.9	15.0	5.1	
PRIME RATE STERLING LIQUIDITY FUND	Instant access		0.41	14.5	14.5	15.0	0.5	
PAYDEN STERLING RESERVE FUND	Instant access			15.0	15.0	15.0	0.0	
CCLA PROPERTY FUND	Property Fund			10.0	10.0	25.0	15.0	
Less: FORWARD DEALS				-3.0	-3.0			
TOTAL INVESTMENTS AS AT 30th SEPTEMBER 2014					264.9	264.9		
ICELANDIC BANK DEPOSIT (not included above)								
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